

## STATEMENT FOR SELF-CERTIFIED HIGH NET WORTH INDIVIDUAL

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001.

### I understand this means:

- I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;
- the content of such financial promotions may not conform to rules issued by the Financial Services Authority;
- by signing this statement I may lose significant rights;
- I may have no right to complain to either of the following:
  1. the Financial Services Authority; or
  2. the Financial Ombudsman Scheme;
- I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:

- I had, during the financial year immediately preceding, the date below, an annual income to the value of £100,000 or more;
- I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:
  - the property which is my primary residence or any loan secured on that residence;
  - any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
  - any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

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Print Name

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Signature

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Date